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U.S. Patent and Trademark Office

04-27-01 A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

For new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792909-5001

First Named Inventor or Application Identifier

Guohua Li

Express Mail Label No: EL281360951US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

JC973 U.S. PTO
09/04/2001**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. Specification Total Pages 66
2. Drawing(s) (35 USC 113) Total Pages 27
3. Declaration and Power of Attorney Total Pages 2
 - a. Unexecuted(original or copy)
 - b. Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

5. Assignment Papers (cover sheet & documentation)
6. Letter under 37 CFR 1.41(c).
7. English Translation Document (*if applicable*)
8. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
9. Preliminary Amendment
10. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. Small Entity Statement filed in prior Application, Statement(s) Status still proper and desired
12. Certified copies of Japanese priority documents Nos. P2000-128999 filed April 25, 2000 and P2000-129000 filed April 25, 2000.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS		16		\$18.00	0.00
INDEPENDENT CLAIMS		8	5	80.00	400.00
	ANY MULTIPLE DEPENDENT CLAIMS?			270.00	0.00
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			TOTAL FEE	\$1,110.00

The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

A check in the amount of \$1,110.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal
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Date: April 25, 2001

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Assistant Commissioner of Patents
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled
“POSITIVE ELECTRODE ACTIVE MATERIAL AND NON-AQUEOUS
ELECTROLYTE CELL”
Applicant(s) Guohua Li, et al.
Attorney Docket No. 09792909-5001

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 16 claims (8 independent), 27 sheets of drawings (Figs. 1-27) and the filing fee of \$1,110.00 on behalf of

GUOHUA LI and ATSUO YAMADA

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHEIN NATH & ROSENTHAL

Christopher P. Rauch

By:

Christopher P. Rauch

Enclosures

04-27-01

A

EXPRESS MAIL #EL281360951US

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: April 25, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Guohua Li and Atsuo Yamada

Attorney Docket No. 09792909-5001

\$1,110.00 filing fee (16 claims - 8 independent)

Drawings (27 sheets - Figs. 1-27)

Unexecuted Declaration

Letter Under Rule 37

Certified copies of Japanese priority documents Nos. P2000-128999 and P2000-129000

Return postcard



Signature of Person Mailing Application and Fees